

**INFORMATION DISCLOSURE STATEMENT**

Applicant : Kenneth W. Rake et al.  
App. No. : 10/678,038  
Filed : September 30, 2003  
For : PLATEN PUMP  
Examiner : Unknown  
Group Art Unit : 3754

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

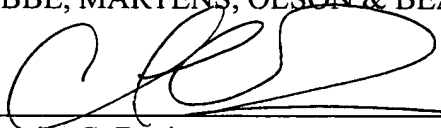
Enclosed is form PTO-1449 listing 113 references that are of record in U.S. patent application No. 10/078,063, filed February 18, 2002, which is the parent of this Continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: APRIL 14, 2004

By:   
Curtiss C. Dosier  
Registration No. 46,670  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

|   |  |                                      |                               |
|---|--|--------------------------------------|-------------------------------|
| FORM PTO-1449   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>IFLOW.2CP3CDVC1  | APPLICATION NO.<br>10/678,038 |
| <b>INFORMATION DISCLOSURE STATEMENT<br/>BY APPLICANT</b><br>(USE SEVERAL SHEETS IF NECESSARY) |  | APPLICANT<br>Kenneth W. Rake, et al. |                               |
|   |  | FILING DATE<br>September 30, 2003    | GROUP<br>3754                 |
|   |  |                                      |                               |

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE      | NAME                       | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|---------------------|----|-----------------|-----------|----------------------------|-------|----------|---------------------------------|
|                     | 1  | 11,248          | 3/27/1879 | Albert C. Fairbanks        |       |          |                                 |
|                     | 2  | 42,809          | 7/16/12   | C.A. Tatum                 |       |          |                                 |
|                     | 3  | 109,549         | 5/3/38    | F. Dabroski                |       |          |                                 |
|                     | 4  | 221,911         | 9/14/71   | Richard E. Ericson, et al. |       |          |                                 |
|                     | 5  | 227,184         | 6/5/73    | Frank W. Stevens, et al.   |       |          |                                 |
|                     | 6  | 245,539         | 8/23/77   | Lindsey                    |       |          |                                 |
|                     | 7  | 245,540         | 8/23/77   | Lindsey                    |       |          |                                 |
|                     | 8  | 255,936         | 7/15/80   | Cullis, et al.             |       |          |                                 |
|                     | 9  | 272,762         | 2/21/84   | Tanner, II                 |       |          |                                 |
|                     | 10 | 284,035         | 5/27/86   | de Leeuwe, et al.          |       |          |                                 |
|                     | 11 | 291,491         | 8/18/87   | Larkin                     |       |          |                                 |
|                     | 12 | 298,567         | 11/15/88  | Morris                     |       |          |                                 |
|                     | 13 | 324,566         | 3/10/92   | Schmidt, et al.            |       |          |                                 |
|                     | 14 | 480,785         | 8/16/1892 | J.P. Schan                 |       |          |                                 |
|                     | 15 | 2,043,325       | 6/36      | G. H. Jackson, Jr.         |       |          |                                 |
|                     | 16 | 2,667,872       | 3/19/52   | A.E. Smith                 |       |          |                                 |
|                     | 17 | 2,688,964       | 9/14/51   | A.E. Smith                 |       |          |                                 |
|                     | 18 | 2,784,716       | 3/31/55   | C.R. Broman                |       |          |                                 |
|                     | 19 | 2,848,141       | 8/19/58   | Intagliata                 |       |          |                                 |
|                     | 20 | 2,864,367       | 12/16/58  | W.C. Mende                 |       |          |                                 |
|                     | 21 | 2,864,368       | 12/16/58  | F.A. Senger                |       |          |                                 |
|                     | 22 | 3,029,983       | 4/17/62   | Wagenhals                  |       |          |                                 |
|                     | 23 | 3,048,171       | 8/62      | Grau                       |       |          |                                 |
|                     | 24 | 3,111,125       | 11/6/61   | R.R. Schulte               |       |          |                                 |
|                     | 25 | 3,144,866       | 8/18/64   | A.L. Ellis                 |       |          |                                 |
|                     | 26 | 3,151,616       | 10/6/64   | P. M. Selfon               |       |          |                                 |
|                     | 27 | 3,177,870       | 4/13/65   | W.F. Salem, Jr., et al.    |       |          |                                 |
|                     | 28 | 3,375,824       | 4/2/68    | H.A. Krakauer, et al.      |       |          |                                 |
|                     | 29 | 3,384,080       | 5/21/68   | Muller                     |       |          |                                 |

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|-----------------------|----|-----------------|----------|--------------------|-------|----------|---------------------------------|
| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER | DATE     | NAME               | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|                       | 30 | 3,428,046       | 2/18/69  | R.K. Remer, et al. |       |          |                                 |
|                       | 31 | 3,451,393       | 6/24/69  | Samoff             |       |          |                                 |
|                       | 32 | 3,468,308       | 9/23/69  | H.R. Bierman       |       |          |                                 |
|                       | 33 | 3,469,578       | 9/30/69  | Bierman            |       |          |                                 |
|                       | 34 | 3,565,292       | 2/23/71  | Jinotti            |       |          |                                 |
|                       | 35 | 3,595,232       | 7/27/68  | Leibinson          |       |          |                                 |
|                       | 36 | 3,625,401       | 12/7/71  | Terry              |       |          |                                 |
|                       | 37 | 3,640,276       | 2/8/72   | Dancy, Jr.         |       |          |                                 |
|                       | 38 | 3,640,277       | 2/8/72   | Adelberg           |       |          |                                 |
|                       | 39 | 3,647,117       | 3/7/72   | Hargest            |       |          |                                 |
|                       | 40 | 3,662,928       | 5/16/72  | Pogorski, et al.   |       |          |                                 |
|                       | 41 | 3,667,466       | 6/72     | Ralph              |       |          |                                 |
|                       | 42 | 3,670,926       | 6/20/72  | Hill               |       |          |                                 |
|                       | 43 | 3,724,351       | 5/22/73  | Gaudin             |       |          |                                 |
|                       | 44 | 3,731,681       | 5/8/73   | Blackshear, et al. |       |          |                                 |
|                       | 45 | 3,818,910       | 6/25/74  | Harris             |       |          |                                 |
|                       | 46 | 3,847,304       | 11/74    | Cohen              |       |          |                                 |
|                       | 47 | 3,895,631       | 7/22/75  | Buckles, et al.    |       |          |                                 |
|                       | 48 | 3,895,741       | 7/22/75  | Nugent             |       |          |                                 |
|                       | 49 | 3,902,635       | 9/1975   | Jinotti            |       |          |                                 |
|                       | 50 | 3,941,132       | 3/2/76   | Lenaghan           |       |          |                                 |
|                       | 51 | 3,965,905       | 6/76     | Schoenholz et al.  |       |          |                                 |
|                       | 52 | 4,033,479       | 7/77     | Fletcher et al.    |       |          |                                 |
|                       | 53 | 4,077,544       | 3/78     | Malacheski et al.  |       |          |                                 |
|                       | 54 | 4,095,110       | 11/22/77 | Wuthrich, et al.   |       |          |                                 |
|                       | 55 | 4,140,117       | 2/20/79  | Buckles, et al.    |       |          |                                 |
|                       | 56 | 4,157,771       | 6/12/79  | Smith              |       |          |                                 |
|                       | 57 | 4,212,299       | 7/15/80  | Yokokoji, et al.   |       |          |                                 |

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| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER | DATE     | NAME                | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|                       | 58 | 4,265,241       | 5/5/81   | Portner, et al.     |       |          |                                 |
|                       | 59 | 4,274,407       | 6/23/81  | Scarlett            |       |          |                                 |
|                       | 60 | 4,282,986       | 8/11/81  | af Ekenstam, et al. |       |          |                                 |
|                       | 61 | 4,284,209       | 8/1981   | Barbour, Jr.        |       |          |                                 |
|                       | 62 | 4,313,439       | 2/2/82   | Babb, et al.        |       |          |                                 |
|                       | 63 | 4,337,769       | 7/6/82   | Olson               |       |          |                                 |
|                       | 64 | 4,410,323       | 10/18/83 | Hodosh, et al.      |       |          |                                 |
|                       | 65 | 4,468,221       | 8/84     | Mayfield            |       |          |                                 |
|                       | 66 | 4,560,382       | 12/24/85 | Isono               |       |          |                                 |
|                       | 67 | 4,581,018       | 4/86     | Jassawalla et al.   |       |          |                                 |
|                       | 68 | 4,619,652       | 10/28/86 | Eckenhoff et al.    |       |          |                                 |
|                       | 69 | 4,634,427       | 1/6/87   | Hannula, et al.     |       |          |                                 |
|                       | 70 | 4,650,469       | 3/17/87  | Berg, et al.        |       |          |                                 |
|                       | 71 | 4,699,615       | 10/13/87 | Fischell, et al.    |       |          |                                 |
|                       | 72 | 4,741,736       | 5/3/88   | Brown               |       |          |                                 |
|                       | 73 | 4,756,450       | 7/88     | Negaty-Hindi et al. |       |          |                                 |
|                       | 74 | 4,769,008       | 9/6/88   | Hessel, et al.      |       |          |                                 |
|                       | 75 | 4,772,263       | 9/88     | Dorman et al.       |       |          |                                 |
|                       | 76 | 4,781,689       | 11/1/88  | Sealfon, et al.     |       |          |                                 |
|                       | 77 | 4,883,473       | 11/28/89 | Thomas              |       |          |                                 |
|                       | 78 | 4,898,582       | 2/90     | Faste               |       |          |                                 |
|                       | 79 | 4,915,693       | 4/10/90  | Hessel              |       |          |                                 |
|                       | 80 | 4,950,245       | 8/21/90  | Brown, et al.       |       |          |                                 |
|                       | 81 | 4,955,871       | 9/11/90  | Thomas              |       |          |                                 |
|                       | 82 | 4,966,585       | 10/30/90 | Gangemi             |       |          |                                 |
|                       | 83 | 4,968,301       | 11/6/90  | di Palma, et al.    |       |          |                                 |
|                       | 84 | 4,969,873       | 11/13/90 | Steinbach, et al.   |       |          |                                 |
|                       | 85 | 4,991,742       | 2/12/91  | Chang               |       |          |                                 |

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|                       | 86 | 5,053,031       | 10/91    | Borsanyi           |       |          |                                 |
|                       | 87 | 5,062,840       | 11/91    | Holt et al.        |       |          |                                 |
|                       | 88 | 5,090,963       | 2/25/92  | Gross, et al.      |       |          |                                 |
|                       | 89 | 5,167,633       | 12/92    | Mann et al.        |       |          |                                 |
|                       | 90 | 5,167,632       | 12/92    | Eid et al.         |       |          |                                 |
|                       | 91 | 5,176,641       | 1/93     | Idriss             |       |          |                                 |
|                       | 92 | 5,176,644       | 1/93     | Srisathapat et al. |       |          |                                 |
|                       | 93 | 5,178,609       | 1/93     | Ishikawa           |       |          |                                 |
|                       | 94 | 5,098,202       | 3/24/92  | Rosenbaum          |       |          |                                 |
|                       | 95 | 5,281,202       | 1/1994   | Weber et al.       |       |          |                                 |
|                       | 96 | 5,911,716       | 6/99     | Rake et al.        |       |          |                                 |
|                       | 97 | 6,251,098       | 6/01     | Rake et al.        |       |          |                                 |
|                       | 98 | 2002/0123735 A1 | 9/5/2002 | Rake et al.        |       |          |                                 |

| FOREIGN PATENT DOCUMENTS |     |                 |          |                         |       |          |             |
|--------------------------|-----|-----------------|----------|-------------------------|-------|----------|-------------|
| EXAMINER<br>INITIAL      |     | DOCUMENT NUMBER | DATE     | COUNTRY                 | CLASS | SUBCLASS | TRANSLATION |
|                          | 99  | 0594443         | 3/60     | Canada                  |       |          |             |
|                          | 100 | 245,988         | 1/21/26  | Great Britain           |       |          |             |
|                          | 101 | 0472203         | 9/37     | Great Britain           |       |          |             |
|                          | 102 | 1,216,534       | 12/23/70 | Great Britain           |       |          |             |
|                          | 103 | 2,197,691       | 5/88     | Great Britain           |       |          |             |
|                          | 104 | 2,102,412       | 4/72     | France                  |       |          |             |
|                          | 105 | 0178371         | 4/86     | Europe                  |       |          |             |
|                          | 106 | 426,319         | 8/5/91   | Europe                  |       |          |             |
|                          | 107 | 3,507,818       | 7/86     | Germany (& translation) |       |          |             |
|                          | 108 | 4,039,191       | 11/91    | Germany                 |       |          |             |
|                          | 109 | 76,374          | 3/50     | Norway                  |       |          |             |
|                          | 110 | 404,870         | 7/66     | Switzerland             |       |          |             |

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|--------------------------|-----|-----------------|---------|-------------|-------|----------|-------------|
| EXAMINER<br>INITIAL      |     | DOCUMENT NUMBER | DATE    | COUNTRY     | CLASS | SUBCLASS | TRANSLATION |
|                          | 111 | 408,146         | 3/69    | Switzerland |       |          |             |
|                          | 112 | 635,245         | 3/31/83 | Switzerland |       |          |             |

| EXAMINER<br>INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |  |
|---------------------|--|--|
|                     | 113  | Co-Pending Application; Application No.: 09/892,900 Filing Date: 6/26/01 |

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|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| <b>*EXAMINER:</b> INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. |                 |